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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	3
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Complete if Known

Application Number	09/867,693
Filing Date	May 31, 2001
First Named Inventor	Cooper, et al.
Group Art Unit	1646
Examiner Name	Unassigned
Attorney Docket Number	003659.00009

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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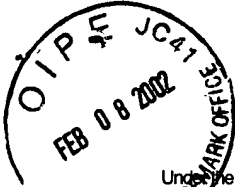
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	TECH CENTER 600/2900
Dr		Aberle, et al., "The counterion influence on cationic lipid-mediated transfection of plasmid DNA", Biochemica et Biophysica Acta, 1996, pages 281-283, Elsevier Science B.V.	
		Allison, et al., "Mechanisms of Protection of Cationic Lipid-DNA Complexes During Lyophilization", Journal of Pharmaceutical Sciences, 2000, pages 682-691, vol. 89, no. 5, Wiley-Liss, Inc., & American Pharmaceutical Association.	
		Choi, et al., "Lactose-Poly (ethylene Glycol)-Grafted Poly-L-Lysine as Hepatoma Cell-Targeted Gene Carrier", Bioconjugate Chem., 1998, pages 708-718, vol. 9, American Chemical Society.	
		Cortesi, et al., "Effect of DNA Complexion and Freeze-Drying on the Physicochemical Characteristics of Cationic Liposomes", Antisense & Nucleic Drug Development, 2000, pages 205-215, vol. 10, Mary Ann Liebert, Inc.	
		Katayose, et al., "Remarkable Increase in Nuclease Resistance of Plasma DNA through Supramolecular Assembly with Poly (ethylene glycol)-Poly (L-lysine)", Journal of Pharmaceutical Sciences, 1998, vol. 87, no. 2, American Chemical Society and American Pharmaceutical Association.	
		Katayose, et al., "Water-Soluble Polyion Complex Associates of DNA and Poly (ethylene glycol)-Poly (L-lysine) Block Copolymer", Bioconjugate Chem., 1997, pages 702-707, American Chemical Society.	
		Kilcher, et al., "Influence of the DNA Complexation Medium on the Transfection Efficiency of Lipospermine/DNA Particles", Gene Therapy, 1998, pages 855-860, vol. 5, MacMillan Press LTD., Basingstoke, Great Britain.	
		Kwok, et al., "Strategies for Maintaining the Particle Size of Peptide DNA Condensates Following Freeze-Drying", International Journal of Pharmaceutics, 2000, pages 81-88, vol. 203, no. 1-2, Elsevier Science B.V.	
		Li, et al., "Lyophilization of Cationic Lipid-Protamine-DNA (LPD) Complexes", Journal of Pharmaceutical Sciences, 2000, pages 355-364, vol. 89, no. 3, Wiley-Liss, Inc., & American Pharmaceutical Association.	
		Noel, et al., "High Compacted DNA - Polymer Complexes Via New Polynorbornene Polycationic Latexes With Acetate Counterion", SCISEARCH Database, 2000, pages 8980-8983, vol. 16, no. 23, American Chemical Society, Washington, D.C.	
		Poxon, et al., "The Effect of Lyophilization on Plasmid DNA Activity", Pharmaceutical and Development Technology, 2000, pages 115-122, vol. 5, no. 1, Marcel Dekker, Inc.	

Examiner Signature	<i>Dah</i>	Date Considered	1/7/03
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DM		ABERLE et al., The counterion influence on cationic lipid-mediated transfection of plasmid DNA, Biochimica et Biophysica Acta, 1996, 1299:281-283.	
		VINOGRADOV et al., Self-Assembly of Polyamine-Poly(ethylene glycol) Copolymers with Phosphorothioate Oligonucleotides, Bioconjugate Chem., 1998, Vol. 9, No. 6, 805-812.	
		TONCHEVA et al., Novel vectors for gene delivery formed by self-assembly of DNA with poly(L-lysine) grafted with hydrophilic polymers, Biochimica et Biophysica Acta, 1998, 1380:354-368.	
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		KATAYOSE et al., Water-Soluble Polyion Complex Associates of DNA and Poly(ethylene glycol)-Poly(L-lysine) Block Copolymer, Bioconjugate Chem., 1997, Vol. 8, No. 5, 702-707.	
		KATAYOSE et al., Remarkable Increase in Nuclease Resistance of Plasmid DNA through Supramolecular Assembly with Poly(ethylene glycol)-Poly(L-lysine) Block Copolymer, Journal of Pharmaceutical Sciences, February 1998, Vol. 87, No. 2, 160-163.	
		KWOK et al., Strategies for maintaining the particle size of peptide DNA condensates following freeze-drying, International Journal of Pharmaceutics, 2000, Vol. 203, 81-88.	
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		ALLISON et al., Mechanisms of Protection of Cationic Lipid-DNA Complexes During Lyophilization, Journal of Pharmaceutical Sciences, May 2000, Vol. 89, No. 5, 682-691.	
		CORTESI et al., Effect of DNA Complexation and Freeze-Drying on the Physicochemical Characteristics of Cationic Liposomes, Antisense & Nucleic Acid Drug Development, 2000, Vol. 10, 205-215.	
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